

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Daniel N. Harres Attorney Docket No. BING-1-1009  
Serial No.: not yet assigned Group Art Unit: not yet assigned  
Filing Date: June 27, 2003 Examiner: not yet assigned  
Title: APPARATUS AND METHODS FOR NOISE-FEEDBACK CONTROLLED  
OPTICAL SYSTEMS

## **TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT**

TO THE COMMISSIONER OF PATENTS:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information related to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. § 1.56(b).

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

Copies of references listed on the attached Form PTO-1449 are enclosed herewith, along with a return receipt postcard.

Respectfully submitted,

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I hereby certify that this communication is being deposited with the United States Postal Service via first class mail under 37 C.F.R. § 1.08 on the date indicated below addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>C m pl t if Known</b></p>	
				<b>Application Number</b>	not yet assigned
				<b>Filing Date</b>	June 27, 2003
				<b>First Named Inventor</b>	Daniel N. Harres
				<b>Art Unit</b>	not yet assigned
				<b>Examiner Name</b>	not yet assigned
Sheet	1	of	1	Attorney Docket Number	BING-1-1009

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US- 6,570,149	5/27/2003	Maruyama, et al.	
	2	US- 6,547,448	4/15/2003	Johnson et al.	
	3	US- 6,515,315	2/4/2003	Itzler et al.	
	4	US- 6,437,362	8/20/2002	Suzuki	
	5	US- 6,369,897	4/9/2002	Rice et al.	
	6	US- 6,334,020	12/25/2001	Fujimori et al.	
	7	US- 6,266,169	7/24/2001	Tomooka et al.	
	8	US- 6,128,112	10/3/2000	Harres	
	9	US- 6,124,663	9/26/2000	Haake et al.	
	10	US- 6,078,714	6/20/2000	Cavanaugh	
	11	US- 5,892,868	4/6/1999	Peck, Jr. et al.	
	12	US- 5,809,220	9/15/1998	Morrison et al.	
	13	US- 5,563,174	8/5/1997	Halus	
	14	US- 5,295,212	3/15/1994	Morton et al.	
	15	US- 5,222,166	6/22/1993	Weltha	
	16	US- 5,136,295	8/4/1992	Bull et al.	
	17	US- 5,119,679	6/9/1992	Frisch	
	US-				
	US-				
	US-				

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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